



UNITED STATES PATENT AND TRADEMARK OFFICE

Honeywell Case No. A11-26110

(Case No. 00-696)

2827
PATENT
JFW

THE APPLICATION OF:

Sullivan, et al.

Serial No. 10/054,374

Filed: January 22, 2002

Title: HIGH DENSITY 3-D INTEGRATED
CIRCUIT PACKAGE

Examiner: David A. Zarneke

Group Art 2827

Unit:

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In regard to the above identified application:

1. We are transmitting herewith the attached:

- a. Information Disclosure Statement;
- b. Form PTO-1449;
- c. Copy of IDS Citations for S/N 10/054,374 (5 references);
- d. Return Receipt Postcard.

2. With respect to additional fees:

☒ No additional fee is required.

3. Please charge any additional fees or credit overpayment to Deposit Account No.13-2490.
A duplicate copy of this sheet is enclosed.

4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 18 day of May, 2004.

By:

Alison J. Baldwin

Reg. No. 48,968

I. United States Patents

1. Farrand, United States Patent No. 3,614,541, Issued October 19, 1971.
2. Wenz, United States Patent No. 3,631,325, Issued December 28, 1971.
3. Brown, et al. United States Patent No. 4,502,098, Issued February 26, 1985.
4. Go, United States Patent No. 4,764,846, Issued August 16, 1988.
5. Sasov, United States Patent No. 5,943,213, Issued August 24, 1999.

II. Foreign Patents

None.

III. Other Documents

None.

This Information Disclosure Statement is being filed:

☒ within three months of the filing date of a national application; within three months of the date of entry into the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or before the mailing date of a first Office Action on the merits or before the mailing of a first office action after the filing for continued examination under § 1.114 37 C.F.R. § 1.97 (b)

☐ **after** three months of the filing date of a national application, or the date of entry into the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or **after** the mailing date of a first Office Action on the merits, but **before** the mailing date of a Final Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311 (whichever occurs first), and includes (37 C.F.R. § 1.97 (c):

☐ the Certification under 37 C.F.R. § 1.97(e) (see "Certification" below)

OR

☐ the fee of \$240 set forth in 37 C.F.R. § 1.17(p) (see "Fees" below).

☐ **after** a Final Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311 (whichever occurs first), but before, or simultaneously with, the payment of the issue fee, and includes the Certification under 37 C.F.R. § 1.97(e) (see "Certification"

below), and the Petition Fee set forth in 37 C.F.R. § 1.17(i) (see "Fees" and "Method of Payment of Fees" below). Applicants hereby petitions for consideration of the Information Disclosure Statement submitted herewith and the accompanying references in examination of the subject patent application.

CERTIFICATION

- ☐ The **undersigned** hereby certifies that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.
- ☐ The **undersigned** hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

FEES

- ☒ No fee is owed by the applicant(s).
- ☐ The **IDS Fee of \$240.00** under 37 C.F.R. § 1.17(p) is enclosed herewith.

METHOD OF PAYMENT OF FEES

- ☐ Attached is a check in the amount of \$ ____.
- ☐ Charge Deposit Account No. 13-2490 in the amount of \$ ____ . (A duplicate copy of this communication is enclosed for that purpose.)

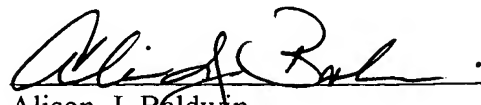
Please charge any underpayment or credit any overpayment in connection with this communication to Deposit Account No. 13-2490. A duplicate copy of this communication is enclosed for this purpose.

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8:

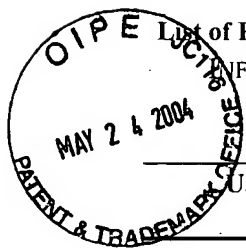
I hereby certify that this correspondence and all attached paper(s) or fee(s) is being deposited with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450, on this 18th day of May, 2004.

Respectfully submitted,

Date: May 18, 2004


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312-913-0001



Form PTO-1449 (modified)	Atty. Docket No. 00-696	Serial No. 10/054,374
Office of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Applicant Sullivan, et al.	
	Filing Date: January 22, 2002	Group: 2827
U.S. Patent Documents Page 1	Foreign Patent Documents None	Other Art None

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	3,614,541	10/19/1971	Farrand			
	A2	3,631,325	12/28/1971	Wenz			
	A3	4,502,098	02/26/1985	Brown, et al.			
	A4	4,764,846	08/16/1988	Go			
	A5	5,943,213	08/24/1999	Sasov			

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						
	B2						

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	
	C2	

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)